EXPRESS MAIL LABEL NO.: EV373692257US

DATE: October 15, 2004

# TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER REGIM 3.3-040

DESIGNATED/ELECTED CONCERNING A SUBMISSI		U.S. APPLICATION NO. (II knows, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/FR03/01197 '	15 April 2003	17 April 2002
TITLE OF INVENTION METHOD FOR	R PRODUCTION OF A TUNABLE OF	PTICAL FILTER
APPLICANT(S) FOR DO/EO/US Alai	n Mugnier, Rachelle Leroux, Philippe	e Yvernault, and David Pureur
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EO/L	JS) the following items and other information:
1. x This is a FIRST submission of it	ems concerning a submission under 35	5 U.S.C. 371.
2. This is a SECOND or SUBSEQ	UENT submission of items concerning	a submission under 35 U.S.C. 371.
3. This is an express request to be include items (5), (6), (9) and (2		35 U.S.C. 371 (f)). The submission must
4. The US has been elected (Articl	e 31).	
5. x A copy of the International Appli	cation as filed (35 U.S.C. 371 (c)(2))	
a. is attached hereto (required	only if not communicated by the Interna	ational Bureau).
b. x has been communicated by	the International Bureau.	,
<del></del>	ation was filed in the United States Re	ceiving Office (RO/US).
	of the International Application as filed	
a. is attached hereto.	or the international reprised to the	(60 6.6.6. 6. 7 (6)/2//
	ed under 35 U.S.C. 154(d)(4).	
	e International Application under PCT A	Adicle 19 (35 II S.C. 371 (c)(3))
b. have been communicated by	d only if not communicated by the Inter	national bureau).
H .	er, the time limit for making such amer	adments has NOT expired
		idificitis has NOT expired.
	of the amendments to the claims under F	PCT Article 10 (35 LLS C 371 (c)(3))
		01 Atticle 13 (03 0.0.0. 01 1 (0)(0)).
—		liminary Examination Report under PCT
Article 36 (35 U.S.C. 371 (c)(5))		minary Examination Report and a 1 of
Items 11 to 20 below concern docum	nent(s) or information included:	
11. An Information Disclosure State	ment under 37 CFR 1.97 and 1.98.	
12. An assignment document for reco	rding. A separate cover sheet in compliar	nce with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.	•	
14. x An Application Data Sheet unde	r 37 CFR 1.76.	
15. A substitute specification.		
16. A power of attorney and/or chan	ge of address letter.	
17. A computer-readable form of the	sequence listing in accordance with PC	T Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. x A second copy of the published	International Application under 35 U.S.	.C. 154(d)(4). (French)
19. A second copy of the English la	nguage translation of the International	Application under 35 U.S.C. 154(d)(4).
	by of International Search Report, Eight eipt Postcard	(8) Sheets of Formal Drawings, Return

U.S. APPLICATION NO. (if know	かいいっていた 人 C	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER		
10,	DITION	PCT/FR03/01197		REGIM 3.3-040		
21. x The following fees are submitted:				CALCULATIONS	PTO USE ONLY	
BASIC NATIONAL FEE Neither international preliminary nor international search fee (37 and International Search Repor	y examination fee (37 CFR 1.4 CFR 1.445(a)(2)) paid to USF	182) PTO	\$1110	.00		
International preliminary examir USPTO but International Searc	nation fee (37 CFR 1.482) not th Report prepared by the EPC	paid to O or JPO	\$950	.00		
International preliminary examir but international search fee (37			\$790	.00		
International preliminary examir but all claims did not satisfy pro	nation fee (37 CFR 1.482) paid visions of PCT Article 33(1)-(4	d to USPTO I)	\$75	0.00		
International preliminary exam and all claims satisfied provis	nination fee (37 CFR 1.482) sions of PCT Article 33(1)-(4	paid to USPTO	\$10	00.00		
ENTER	R APPROPRIATE BA	SIC FEE AMOUNT =			\$ 950.00	
Surcharge of \$130.00 for from the earliest claimed p			months		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RAT	E		
Total claims	62-20 =	42	× 18	3.00	\$ 756.00	
Independent claims	1-3 =		х	•	\$ 0.00	
MULTIPLE DEPENDE	NT CLAIM(s) (if appli	cable)	+ 300	0.00	\$ 300.00	
		OTAL OF ABOVE CAL			\$ 2,006.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 1,003.00		
	SUBTOTAL =			\$ 1,003.00		
Processing fee of \$130.0 from the earliest claimed			n 30 month	s	\$	
		TOTAL NA	IONAL FE	E =	\$ 1,003.00	
Fee for recording the encl be accompanied by an ap				erty +	s	
		TOTAL FEES	ENCLOSE	D =	\$ 1,003.00	
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					Amount to be charged:	s
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CUSTOMER NUMBER: 000	/ 42,424  TOMER NUMBER: 000530 REGISTRATION NUMBER					

## 10 / 511565 DT01 Rec'd PCT/PTC 15 OCT 2004

Street of mailing address::

117, boulevard de la Corniche

City of mailing address::

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Country of mailing address::

France

Postal or Zip Code of mailing address::

F-22700

**Correspondence Information** 

Correspondence Customer Number::

000530

**Representative Information** 

Representative Customer Number::

000530

#### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/FR03/01197	04/15/03

### **Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::
France	02/04821	04/17/02	Yes

#### **Assignee Information**

Assignee name::

**Highwave Optical Technologies** 

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